_			
Express	Mail	Mailing	Z

bel No. EL661683429US

UTILITY	Attorney Docket No.	PTK-194
PATENT APPLICATION	First Named Inventor	John Gary Sousa et al.
TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Title	MODE-LIMITING DIODE LASER STRUCT
(%)		

PATENT APPLICATION					
TRANSMITTAL	Title		MODE-LIMITING	G DIODE LASER STRU	CTURE
(Only for new nonprovisional applications under 37 CFR 1.53(b))					
					<u>•••</u>
	LAD	DDRESS T	O Des Detect A		
APPLICATION ELEMENTS	AD	DKE22 I			ω <sub>i</sub> C
				er for Patents	> <b>60</b> ≡
. 57	·		Washington,	D.C. 20231	
1. Fee Transmittal Form					20 <u>2</u>
2. Small Entity Status					_ 익다를
Applicant claims small entity status		<u>A</u> (	CCOMPANYING	APPLICATION PART	
Status established in prior application and	ıs still				
proper and desired					<del></del>
3. Specification and Drawings [Total Pages 20]	8.			ment (when there is an as	ssignee)
- Written Description - (15 pages)			Power of Attorn	iey	
- Claims - (2 pages)					
- Abstract - (1 page)	9.	☐ Eng	glish Translation D	ocument (if applicable)	
- Sheets of Drawings - (2 sheets)					
☐ Formal	10.	. ∐ <u>Inf</u>		e Statement (IDS)/PTO-I	1449
			Copies of IDS C		
4. Oath or Declaration [Total Pages]	11.	. Pre	liminary Amendme		
a. Newly executed (original)		⊔	Drawings [Total		
<ul> <li>b. Copy from a prior application (37 CFF</li> </ul>	t			l Draftsperson Including	
1.63(d))			Drawings [Total	l Pages ]	
(for continuation/divisional with Box 17 co		KZ 5	<u> </u>		
[Note Box 5 below]	12.	. 🛛 Rei	urn Receipt Postca	rd	
5. Incorporation by Reference (usable if Box 4b is of	checked) 13.	☐ Cei	tified Copy of Prio	rity Document(s)	
The entire Disclosure of the prior application,	, I		Сору от т	, 2004(0)	
which a copy of the oath or declaration is supp					
under Box 4b, is considered as being part of the		. De	letion of Inventor(s		
disclosure of the accompanying application an				hed deleting inventor(s)	named in
hereby incorporated by reference therein.			prior application.	• • • • • • • • • • • • • • • • • • • •	
6. Application Data Sheet	15.			rge table or computer pro	gram.
7. Nucleotide and/or Amino Acid Sequence Subr				<u> </u>	<u>~</u>
Computer Readable Copy		_			
Paper Copy (identical to computer copy	v)				
CD (identical to computer copy)	´´				
Statement verifying identity of above c	opies				
17. If a CONTINUING APPLICATION, amend		on by inse	rting on page 1, be	fore the first line, the sen	itence:
This is a	•	•		•	
	ontinuation-in-	part of pri	or application Seria	al No. / , filed	i on , , the
entire disclosure of which is incorporated by reference	herein	-			
Priority to the above application(s) is c	laimed under 3:	5 U.S.C.	120.		
Prior application information: Examiner:	. Group	p/Art Unit	<u> </u>		
18. Priority - 35 U.S.C. 119					
Priority of application Serial No. 60/193,7				<u>ites</u> is claimed under 35 U	J.S.C. 119.
The certified copy has been filed i	n prior U.S. app	plication S	erial No/	on	
The certified copy will follow.					
CORRESPONDENCE ADDRESS			SIGNA	TURE BLOCK	
Direct all correspondence to: Patent Administrator				Respectfully submitte	ed,
Testa, Hurwitz & Thib	eault, LLP	Date: Ma	rch 30, 2001	ZILDI IV	
High Street Tower		Reg. No.	•	Steven J. Frank	
125 High Street			(617) 310-8108	Attorney for Applican	t(s)
Boston, MA 02110			(617) 248-7100	Testa, Hurwitz & Thib	
Tel. No.: (617) 248-70	00	4 1011	(=2.,=.5 ,100	High Street Tower	
Fax No.: (617) 248-71	UU			125 High Street	
				173 Ulfil gitesi	

## DOGETHER DEFICE

## FEE TRANSMITTAL FY 2001

	Comple
Application Serial Number	Not yet assigned
Filing Date	March 30, 2001
First Named Inventor	John Gary Sousa et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	PTK-194

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. Payment Enclosed:	3. ADDI	TIONAL FE	EES	
Check Money Order Other	Large	Small		
	Entity	Entity		
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description Fee Paid	
or charge any fee indicated below for this submission	(\$)	(\$)		
to Deposit Account No. 20-0531.				
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath	
Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee	
1.17.	]	23	or cover sheet	
	130	130	Non-English specification	
Overpayment Credit.	1			
3. Applicant claims small entity status.	2,520	2,520	For filing a request for reexamination	
PRE CALCULATION	110	55	Extension for reply within first month	
FEE CALCULATION			• • • • • • • • • • • • • • • • • • • •	
1. FILING FEE	390	195	Extension for reply within second	
V 77 01	900	445	month	
Large Entity	890	445	Extension for reply within third month	
Fee (\$) Fee Description Fee Paid	1,390	695	Extension for reply within fourth	
		0.45	month	
T	1,890	945	Extension for reply within fifth month	
710 Utility filing fee 710.00	310	155	Notice of Appeal	
320 Design filing fee	310	155	Filing a brief in support of an appeal	
150 Provisional filing fee	270	135	Request for oral hearing	
	130	130	Petitions to the Commissioner	
	50	50	Petitions related to provisional	
Number Number Rate Amount			applications	
Filed Extra	180	180	Submission of Information Disclosure	
Total Claims 8 $-20 = 0$ x \$ 18.00 = 0			Statement	
	710	355	Filing a submission after final	
			rejection (37 CFR 1.129(a))	
Independent	710	355	For each additional invention to be	
Claims 1 $-3 = 0$ $x \$ 80.00 = 0$	0.166	:6.5	examined (37 CFR 1.129(b))	
	Other fee (Sp	• /		
Multiple Dependent Claim(s), if any \$270.00 =	Other fee (Sp	есну)		
TOTAL:				
SMALL ENTITY DISCOUNT:				
SUBTOTAL (1) (\$) 710.00				
2. AMENDMENT CLAIM FEES  Claims Highest No. Present Rate Fee Paid			CURTOTAL (2)	
			SUBTOTAL (3) (\$)	
Remaining Previously Extra			· · · · · · · · · · · · · · · · · · ·	
After Amend. Paid For			l l	
Total - = x \$ 18.00 =			SUBTOTAL (1) 710.00	
Indep = x \$ 80.00 =			SUBTOTAL (2)	
First Presentation of Multiple Dep. + \$270.00 =			SUBTOTAL (3)	
Claim			` '	
TOTAL: (\$)				
SMALL ENTITY DISCOUNT: (\$)				
SUBTOTAL (2) (\$)			TOTAL (\$) 710.00	
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK			
Direct all correspondence to:			Respectfully submitted,	
Patent Administrator	Date: March	30 2001	$//\sqrt{1/2}$	
Testa, Hurwitz & Thibeault, LLP	Reg. No.: 33	-	Steven J. Frank	
High Street Tower-125 High Street	Tel. No.: (61		•	
Boston, MA 02110				
Tel. No.: (617) 248-7000	Fax No.: (6)	17) 248-710		
Fax No.: (617) 248-7100			High Street Tower-125 High Street	
<b>i</b>			Boston, MA 02110	